



**ALABAMA STATE UNIVERSITY BOARD OF TRUSTEES MEETING
JOE L. REED ACADOME BOARD ROOM
FEBRUARY 8, 2008
1:30 P.M.**

AGENDA

- I. Call to Order**
- II. Invocation**
- III. Establishment of Quorum**
- IV. Swearing in of Mr. Alfred Seawright**
- V. Adoption of Agenda**
- VI. Adoption of Minutes of September 21, 2007 and October 25, 2007**
- VII. President's Report**
- VIII. Report of the Student Government Association – Mr. Duncan Kirkwood, President**
- IX. Report of the Faculty Senate – Dr. Derryn Moten, Chair**
- X. Report of the Non-Academic Staff Council – Mr. Ron Dickerson, Chair**
- XI. Committee Reports**
 - A. Executive Committee**
 - 1. Ratification of Resolution Authorizing the University to Make Necessary Renovations in the Fred Shuttlesworth's Dining Hall**
 - 2. Ratification of Resolution Declaring the Official Intent of the University to Reimburse itself from Bond Proceeds for Capital Expenditures Funded from Revenues or other source**
 - B. Academic Affairs Committee**
 - C. Athletic Committee**

ALABAMA STATE UNIVERSITY BOARD OF TRUSTEES MEETING
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FEBRUARY 8, 2008
AGENDA
(Page 2)

D. Student Affairs Committee

- 1. Approval of Resolution – No Car Policy for Freshmen**
- 2. Approval of Resolution – In Room Visitation**

E. Property Committee

F. Finance Committee

- 1. Approval of Resolution Authorizing the Issuance of the General Tuition and Fee Revenue Bonds, Series 2008**
- 2. Resolution to Increase Fee for Miller Analogies Test (MAT)**
- 3. Approval of Resolution creating the Stillman College-Alabama State University Consortium**
- 4. Approval of Resolution creating the Talladega College-Alabama State University Consortium**

XII. Executive Session

XIII. Report from the Executive Session

XIV. Other Action Items

- A. Approval of Resolution to Approve the Proposed Conceptual Framework for the University's Strategic Planning Process**

XV. Other Business

XVI. Adjournment



**ALABAMA STATE UNIVERSITY BOARD OF TRUSTEES MEETING
FEBRUARY 8, 2008**

MINUTES

I. CALL TO ORDER

The meeting was called to order by Chairman Elton Dean at 1:30 p.m. in the Joe L. Reed Acadome Board Room.

II. INVOCATION

The invocation was given by Mr. Willie Thomas.

Trustee Dean presented former Trustee Catherine Wright with a plaque honoring her years of service to the Alabama State University Board of Trustees.

Mrs. Wright stated that it was truly an honor and privilege to have served on the ASU Board.

Trustee Dean asked to switch Agenda III and IV. This motion was properly moved, seconded and accepted unanimously.

III. SWEARING IN OF MR. ALFRED SEAWRIGHT

Trustee Dean introduced the newly appointed ASU Trustee Mr. Alfred Seawright. He then called upon Attorney Kenneth Thomas, University Counsel, to swear him in. (Official document attached to the minutes.)

After accepting the oath of office, Trustee Seawright made brief remarks indicating that he was very excited to have been selected to serve on the ASU Board of Trustees.

V. ESTABLISHMENT OF QUORUM

Upon roll call by President Lee, a quorum was affirmed. The following Trustees were present: Trustees Elton Dean, Oscar Crawley, Buford Crutcher, Thomas Figures, Taylor Hodge, Bobby Junkins, Lawrence Lemak, Joe Reed, Alfred Seawright, Herbert Young and Marvin Wiggins.

VI. ADOPTION OF AGENDA

Trustee Buford Crutcher moved, seconded by Trustee Crawley, to adopt the agenda. The motion carried unanimously.

VI. ADOPTION OF MINUTES OF SEPT 21, 2007 AND OCTOBER 25, 2007

Trustee Wiggins moved, seconded by Trustee Crutcher, to adopt the minutes of September 21, 2007 and October 25, 2007. The motion passed by majority vote. Trustee Reed abstained.

VII. PRESIDENT'S REPORT

President Lee informed the Board on several important University matters that have occurred since the last meeting. A copy of the President's report is attached to the minutes.

Following Dr. Lee's report on the state of the University, he announced that he would be stepping down from the University presidency, effective May 31, 2008.

VIII. REPORT OF SGA – Mr. Duncan Kirkwood

Mr. Duncan Kirkwood reported on Student Government activities and thanked the administration, President Lee and the Board for their support of his tenure as SGA President. A copy of his report is attached to the minutes.

IX. REPORT OF FACULTY SENATE – Dr. Derryn Moten

Dr. Moten began his report by thanking Dr. Lee for establishing an Ad Hoc Committee on faculty salaries. He further thanked the Board for hiring Dr. Daniel Sullivan as a consultant on the faculty pay equity matter. The primary reason the Faculty Senate wants to revamp the faculty salary schedule is due to the fact that the University has used the same calculation method for over 30 years to determine faculty teaching experience. Further, he stated that the Administration or the Board cannot explain why the University uses one-half of one percent times the number of years taught from 1978 to the present to determine pay. Additionally, the Senate is very pleased to report that there were two very productive meetings with Dr. White regarding the revision of the Faculty Handbook. He concluded his report welcoming Trustee Seawright to the Board.

X. REPORT OF NASC – Mr. Ron Dickerson

There was no report from the Non Academic Staff Council

XI. COMMITTEE REPORTS

A. Executive Committee

Action that was taken by the Executive Committee on December 20, 2007, was presented by Mr. Freddie Gallot, Vice President for Fiscal Affairs, for ratification by the full Board. He presented the following resolutions:

1. Resolution Authorizing the University to make necessary renovations in the Fred Shuttlesworth's Dining Hall.

2. Resolution declaring Official Intent of the University to Reimburse itself from Bond Proceeds for Capital Expenditures funded from Revenues or other sources

The Board agreed to vote on the two Resolutions collectively. Thus, Trustee Wiggins moved, seconded by Trustee Crawley, to ratify the Resolutions presented by the Executive Committee as presented. Both Resolutions were ratified by unanimous vote.

B. Academic Affairs

Dr. White stated that the Academic Affairs Committee met and one Resolution was approved that will be brought forward through the Finance Committee today.

C. Athletic Committee

Trustee Crawley stated that the Committee met, but there are no action items at this time.

D. Student Affairs Committee

Trustee Wiggins reported that the Student Affairs Committee met and approved two Resolutions. Dr. Charles Smith, Vice President for Student Affairs, presented the following Resolutions for Board approval:

1. Approval of Resolution – No Car Policy for Freshmen

Trustee Wiggins moved, seconded by Trustee Hodge, to approve the No Car Policy for Freshmen Resolution. The motion was passed unanimously.

2. Approval of Resolution – In Room Visitation (Pilot Program)

Trustee Crutcher moved, seconded by Trustee Wiggins, to approve the In Room Visitation Resolution. The motion passed by majority vote. Trustee Reed abstained.

E. Property Committee

Mr. Crutcher deferred to the Administration to make the Property Committee presentation. Dr. Cornelius Wooten stated that there were no action items from the Property Committee. He pointed out that the Board should have received reports from TCU. One report outlines the construction status of the Education Building and the other details the status of the dormitory renovations report. He stated that a brief presentation on the status of the University's Master Plan would be presented by John Chambless at this time. After the presentation, it was agreed that the Master Plan documents would be mailed to all Board members for the purpose of ascertaining feedback in order to make final changes. The goal is to have the plan completed and ready for approval at the May 2008 Board meeting.

F. Finance Committee

1. Issuance of the General Tuition and Fee Revenue Bonds, Series 2008

Mr. Freddie Gallot, Vice President for Fiscal Affairs, said the University has done a thorough review of the projects that are currently underway on the campus and the future facility needs of the University. Therefore, the Finance Committee is presenting a resolution to issue a general tuition and fee Revenue Bond to the Board for approval. He explained the total amount of the bond including the funds for various projects and fees would not exceed \$38 million. He cited the amounts for the various projects as follows:

- Approximately \$1.8 million to construct a temporary Student Center facility (The University plans to use this facility to house the football complex which is now in major disrepair, once the permanent facility is constructed).
- Approximately \$9,635,552 for the completion of the Library addition and renovation (This will augment the 9.2 million dollars previously awarded to the University from the ***Knight v. State*** case for the library renovation).
- \$10.5 million to construct a new student center (The University had 15.2 million dollars allocated from the 2006 bond issue for the construction of a student center; however, once the needs of the center were reassessed, the Administration has concluded that an additional 24,000 sq. ft. would be need . Thus, the request for an additional \$10,054,687 to be included in the current issue presented today).
- \$13 million for the completion of dormitory renovation (The total cost for all six dormitories would be approximately 38M dollars).
- \$233,000 for work in Fred Shuttlesworth Dining Facility

The total for all facility projects equals \$34,223,239. Mr. Gallot then requested approval of the bond parameter Resolution to allow the University to go forth to execute a bond issue not to exceed 38M dollars.

There were many questions raised by several board members about the bond resolution. Specifically, Dr. Reed asked "What is the University's total debt service?" He commented that he did not think the University was in the position to borrow money at this time especially with the expected state appropriations budget cuts and declining enrollment. He stated that he did not favor building a temporary student union building. He also suggested that thought should be given to not making the old dormitories suite style dorms; rather, renovate them and construct a new suite style dorm and assessed room rates to students based on the type of residence hall they choose.

Mr. Wiggins asked, "What was the percentage breakdown for the bond counsel that will be engaged to represent the University in the bond market? He expressed concern about the University's plan to repay the debt and questioned if there would be an endowment established for maintenance cost for the facilities that are being renovated and constructed.

Mr. Gallot responded to the concerns raised by Trustees Reed and Wiggins by reiterating that the University had exercised due diligence in determining the need

to secure funds from the bond market for the full completion of the University projects. He stated that the selection of bond counsel was determined by their level of experience. He further explained that the expected debt service on the new debt would be \$1.8M; the total University debt service is \$8M. The payment of the debt is not directly related to the state appropriations because the amortized debt is being passed on to the students at \$300 for residence students/semester and \$150 to non-residence students/semester. An analysis was done to determine whether the cost would be covered within the financial aid packages of our students and the University is satisfied that these increases will be covered and will not be a burden to our students. Mr. Gallot indicated that there would not be an endowment associated with this bond issue.

Trustee Young said that he carefully challenged the Vice President for Fiscal Affairs to use conservative numbers regarding the debt. He encouraged the University to initiate a Capital Campaign to raise funds to retire the debt and not depend solely on state funding for the needs of the University.

After the lengthy discussion, Chairman Dean called for the vote on The bond issue Resolution. **Trustee Crutcher moved, seconded by Trustee Wiggins, to accept the Resolution Issuance of the General Tuition and Fee Bonds, Series 2008 Voting Aye: Trustees Dean, Crawley, Crutcher, Hodge, Junkins, Lemak, Seawright, Young and Wiggins. Trustees Figures and Reed opposed. The motion carried by majority vote.**

Dr. Lee presented the Resolution to appoint the underwriters, Stern Agee and Leach, Blount Parrish and Company and First Tuskegee Bank to underwrite the 2008 bond issue.

President Lee stated that there would be two lead underwriters and one co-writer. Stern Agee and Leach and Blount Parish and Company are receiving forty (40) percent each; First Tuskegee Bank will receive twenty (20) percent and be one of the depositories.

Mr. Gallot stated that the University currently has an "A" rating with Moodys and Standards and Poors and losing this rating would severely handicap the university in securing the bond issue. The Administration believes that these appointments are in the best interest of the University.

Chairman Dean called for the vote on the Resolution as presented by the University to appoint bond counsel.

Trustee Young moved, seconded by Trustee Crutcher, to accept the Resolution to appoint bond underwriters as recommended by the University's Administration. The motion passed by majority vote.

2. Resolution to Increase Fee for Miller Analogies Test (MAT)

The Academic Affairs Committee of the Board approved this Resolution and referred it to the Finance Committee. Therefore, it is being presented

to the full Board for approval. Trustee Wiggins moved, seconded by Trustee Crutcher, to adopt the Resolution to increase the fee for the Miller Analogies Test from \$55.00 to \$75.00. The motion passed unanimously.

3. **Approval of Resolution creating the Stillman College – Alabama State University Consortium**

Trustee Wiggins moved, seconded by Crawley, to approve the Resolution to create the Stillman College-Alabama State University Consortium. The motion passed by majority vote. Trustee Figures abstained.

4. **Approval of Resolution creating the Talladega College – Alabama State University Consortium**

Trustee Crawley moved, seconded by Trustee Wiggins to approve the resolution creating the Talladega College-Alabama State University Consortium. The motion passed by majority vote. Trustee Figures abstained.

XII. EXECUTIVE SESSION

Pursuant to memorandum dated February 8, 2008, from Attorney Kenneth Thomas, University Counsel, a motion was placed on the floor, seconded and approved unanimously to convene for Executive Session to discuss legal matters. The referenced memorandum is attached to these minutes.

XIII. REPORT FROM EXECUTIVE SESSION

President Lee stated that there was no report from the Executive Session.

XIV. OTHER ACTION ITEMS

A. **Approval of Resolution to approve the proposed Conceptual Framework for the University's Strategic Planning Process**

Trustee Crawley moved, seconded by Trustee Hodge, to approve the proposed Conceptual Framework for the University's Strategic Planning Process. The motion passed by unanimous vote.

XV. OTHER BUSINESS

Trustee Figures placed a motion on the floor to accept the President's resignation. It was seconded by Trustee Reed. There was much discussion relative to the legality of accepting President Lee's statement declaring his intent to resign with there being no written statement submitted from him at this time. After this discussion, Trustee Figures withdrew his motion.

Subsequent to the prior discussion, Trustee Reed then moved, seconded by Trustee Figure, to give permission to the Board Chair to establish a Presidential Search Committee for the purpose of beginning the presidential search at the earliest possible time. The motion passed by unanimous vote.

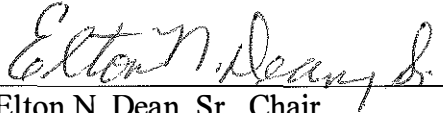
Trustee Wiggins moved, seconded by Trustee Crawley, to sever the University's relationship with Wachovia Bank. No vote was taken on his motion.

Then, Dr. Reed moved to table Trustee Wiggins concern about Wachovia Bank and asked that the Administration bring recommendations back to the Board on this matter. Trustee Figures seconded the motion. The motion passed by majority vote. Trustee Hodge abstained.

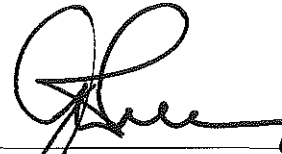
XVI. ADJOURNMENT

Trustee Dean called for a motion to recess the meeting. It was moved and properly seconded to recess the meeting. The motion passed by majority vote. Trustee Reed Opposed.

The meeting was recessed by Chairman Dean.



Elton N. Dean, Sr., Chair
Alabama State University
Board of Trustees



Joe A. Lee, President and
Secretary to the ASU Board
Board of Trustees

May 9, 2008
Date

Attachments:

Agenda – February 8, 2008

Notice of posting with the Secretary of State

Oath of Office Affirmation Document – Trustee Alfred Seawright

Notice Approving Executive Session to Discuss Pending Litigation

President's Report

SGA President's Report

Resolutions:

Resolution Authorizing the University to make necessary renovations in the Fred Shuttlesworth's Dining Hall.

Resolution Declaring the Official Intent of the University to Reimburse Itself From Bond Proceeds for the Capital Expenditures Funded from Revenues or other Sources

Resolution – No Car Policy for Freshmen

Resolution – In Room Visitation

Resolution Authorizing the Issuance of the General Tuition and Fee Revenue Bonds, Series 2008

Resolution to Appoint Underwriters for Bonds to be Issued by Alabama State University

Resolution to Increase Fee for Miller Analogies Test (MAT)

Resolution to Approve the Proposed the Conceptual Framework for the University's Strategic Planning Procedures

ALABAMA STATE UNIVERSITY
Board of Trustees
Resolution to Increase Fee for Miller Analogies Test (MAT)

WHEREAS, the Testing Center provides testing services, including the Miller Analogies Test (MAT); and

WHEREAS, the Testing Center purchases tests from vendors; and

WHEREAS, Harcourt, Inc., a vendor, increased the cost of the Miller Analogies Test (MAT) test; and

WHEREAS, the Testing Center uses resources to administer tests.


NOW, THEREFORE, BE IT RESOLVED THAT, the Testing Center is allowed to increase its fee for the Miller Analogies Test (MAT) from \$55.00 to \$70.00 to help cover administrative costs.

Executed this 8th day of February, 2008.



Joe A. Lee
President of Alabama State University
Secretary to the Board of Trustees

February 6, 2008
Date



Elton Dean
Chair, Alabama State University Board of Trustees

2/8/08
Date

ALABAMA STATE UNIVERSITY

RESOLUTION
NO CAR POLICY FOR FRESHMEN

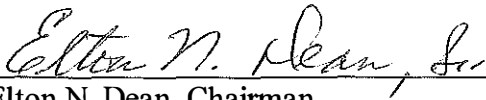
WHEREAS, after careful analyses and research, the University has concluded that no freshmen students living in the Residence Halls will be allowed to bring cars to campus, and

WHEREAS, the University will make exceptions for those students who have off-campus jobs, and

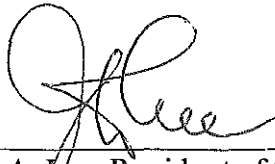
WHEREAS, this policy will enhance the University's ability to retain and create a learning environment for freshman students that will assist in improving their academic and social growth, and assist with increasing the retention rate

THEREFORE BE IT RESOLVED: That this policy is recommended by the Student Affairs Committee and approved and supported by the Administrative Council that no freshmen will be allowed to bring cars their freshman year until they have earned 32 semester hours beginning Fall 2008.

RESOLVED AND APPROVED BY THE BOARD OF TRUSTEES OF ALABAMA STATE UNIVERSITY THIS ___ DAY OF _____, 2008



Elton N. Dean, Chairman
Board of Trustees for Alabama State University

 2-8-08

Joe A. Lee, President of the University and
Secretary to the Board

ALABAMA STATE UNIVERSITY
Montgomery, Alabama

**Resolution of the Board of Trustees
February 8, 2008**

**A Resolution to Approve the Proposed Conceptual Framework for the
University's Strategic Planning Process**

WHEREAS, Strategic Planning is vital to defining an institution's direction; and

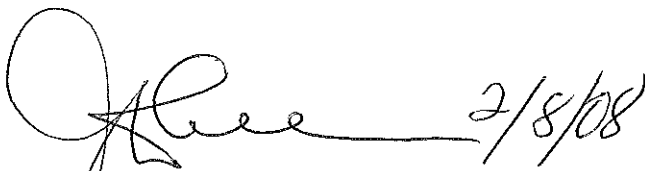
WHEREAS, an institution should review, revise and update its strategic plan document on an annual basis; and

WHEREAS, the University needs to revise its Strategic Plan document (1999-2000 through 2000-2010) in order to reflect ongoing changes in the nature of the University; and

WHEREAS, in July 2006, President Joe A. Lee appointed an ad hoc Strategic Planning Committee charged with the task of developing a framework to review and/or revise the existing plan; and

WHEREAS, the review process was inclusive of all segments of the University's major stakeholders;

THEREFORE BE IT RESOLVED: That the Administration is recommending the approval of the proposed Conceptual Framework for the University's Strategic Planning Process, as submitted by the Strategic Planning Ad Hoc Committee.

 2/8/08
Elton N. Dear, Sr. 2/8/08

ALABAMA STATE UNIVERSITY

RESOLUTION
IN-ROOM VISITATION

WHEREAS, after careful analyses and research, the University has concluded that many HBCUs around the country allow students to have in-room visitation, and

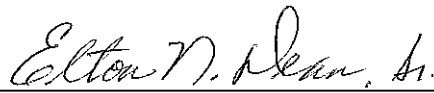
WHEREAS, a Pilot In-Room Visitation Program will be implemented on a limited basis in the Residence Halls where honor students and upperclassmen live. The Residence Halls included are: Martin Luther King Hall (fourth and fifth floors-Honor floors), Bessie Estelle Hall, and McGinty Apartments and

WHEREAS, freshmen honor students living in Martin Luther King Hall and Bessie Estelle Hall will be allowed to have visitors on Monday-Sunday, from 7 p.m. -10 p.m.; and Upperclassmen Sunday-Thursday from 7 p.m. -10 p.m. and on Friday-Saturday, 7 p.m. to 12 a.m. Since McGinty is strictly an upperclassmen facility, students living there will be allowed to have visitation on Sunday -Thursday from 7 p.m. to 10 p.m. and on Friday-Saturday from 7 p.m. to 12 a.m. and

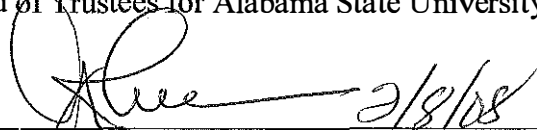
WHEREAS, this Pilot In-Room Visitation Program will be monitored each semester to determine whether students have abided by the rules and regulations and a report will be submitted to the Administrative Council and Board of Trustees for continuation. Students wishing not to participate in the program will be provided with spaces that are not included in the participating halls.

THEREFORE BE IT RESOLVED: That the Pilot In-Room Visitation Program for Honor Students and Upperclassmen living in Martin Luther King, Bessie Estelle and McGinty Hall is recommended by the Student Affairs Committee and approved and supported by the Administrative Council for approval and implementation beginning March 2008.

RESOLVED AND APPROVED BY THE BOARD OF TRUSTEES OF ALABAMA STATE UNIVERSITY THIS ___ DAY OF _____, 2008



Elton N. Dean, Chairman
Board of Trustees for Alabama State University



Joe A. Lee, President of the University and
Secretary to the Board

ALABAMA STATE UNIVERSITY
MONTGOMERY, ALABAMA

RESOLUTION TO APPOINT UNDERWRITERS FOR BONDS TO BE ISSUED BY
ALABAMA STATE UNIVERSITY

WHEREAS, Alabama State University has a need to construct, renovate and expand various facilities on the campus consistent with the University's strategic growth; and

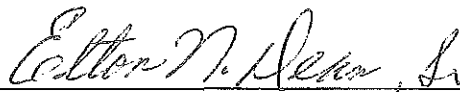
WHEREAS, the University has determined that to fund these capital projects that it will be necessary to issue tax-exempt bonds in an amount not to exceed \$38 million; and

WHEREAS, the University has performed due diligence in the selection of underwriters to work with the University to underwrite bonds; and

WHEREAS, the University has identified Sterne Agee & Leach, Blount Parrish & Company, Inc., and First Tuskegee Bank as underwriters to participate in the bond issue,

THEREFORE BE IT RESOLVED: That the Board of Trustees of Alabama State University approves the University's recommendation to appoint Sterne Agee & Leach, Blount Parrish & Company, Inc., and First Tuskegee Bank as underwriters for the 2008 Bond Issue.

RESOLVED AND APPROVED BY THE BOARD OF TRUSTEES OF ALABAMA STATE UNIVERSITY THIS 8TH DAY OF FEBRUARY 2008



Elton N. Dean, Sr., Chairman
Board of Trustees for Alabama State University



Joe A. Lee, President of the University and
Secretary to the Board of Trustees

**PROCEEDINGS OF THE BOARD OF TRUSTEES OF
ALABAMA STATE UNIVERSITY**

**Authorizing the Issuance
of the
General Tuition and Fee Revenue Bonds, Series 2008**

I, Dr. Joe A. Lee, do hereby certify that I am the duly elected, qualified and acting Secretary of the Board of Trustees of Alabama State University, a public corporation organized under the laws of the State of Alabama (the "University"), and that I am duly authorized to make certified copies of the records of the University. I do further certify that:

1. The pages attached hereto are a true and correct copy of excerpts from the minutes of a regular meeting of the University held on February 8, 2008.
2. Such excerpts contain all portions of the minutes relating to approval of a resolution authorizing the issuance of the General Tuition and Fee Revenue Bonds, Series 2008.
3. The resolution contained in such excerpts has not been repealed, revoked, amended or changed and is in full force and effect.

IN WITNESS WHEREOF, this certificate has been executed on behalf of the Board of Trustees by the undersigned officer.

Dated: _____, 2008.

Secretary,
Board of Trustees of Alabama State University

[S E A L]

**EXCERPTS OF MINUTES OF A REGULAR MEETING
OF THE BOARD OF TRUSTEES FOR
ALABAMA STATE UNIVERSITY**

The Board of Trustees for Alabama State University convened a regular meeting held at the Joe L. Reed Acadome in the Board Room on the campus of Alabama State University in Montgomery, Alabama on Friday, February 8, 2008, at 1:30 o'clock p.m., Central Standard Time. Roll call showed that the following members of the Board of Trustees were

Present:

Absent:

Elton N. Dean, Sr., Chair of the Board of Trustees, acted as chair of the meeting, and Dr. Joe A. Lee, Secretary of the Board of Trustees, who was also present, acted as secretary of the meeting. A quorum being present, the meeting was opened for the transaction of business.

* * *

Dr. Joe A. Lee, President of the University and Secretary of the Board, introduced the following resolution for approval by the Board:

RESOLUTION APPROVING ISSUANCE OF THE SERIES 2008 BONDS

BE IT RESOLVED by the Board of Trustees for Alabama State University, a public corporation and instrumentality of the State of Alabama (herein called the "University"), as follows:

Section 1. Findings. The University has ascertained and does hereby find and declare as follows:

(a) The University has heretofore issued its (i) \$29,125,000 principal amount of its General Tuition and Fee Revenue Bonds, Series 2002A, dated January 1, 2002 (herein called the "Series 2002A Bonds"); (ii) \$6,775,000 principal amount of General Tuition and Fee Refunding Revenue Bonds, Series 2002B (herein called the "Series 2002B Bonds"); (iii) \$25,000,000 principal amount of General Tuition and Fee Revenue Bonds, Series 2003B, dated March 1, 2003 (herein called the "Series 2003B Bonds"); (iv) \$24,425,000 principal amount of General Tuition and Fee Revenue Bonds, Series 2004 Bonds (the "Series 2004 Bonds"); and (v) \$41,810,000 principal amount of General Tuition and Fee Revenue Bonds, Series 2006 Bonds dated August 1, 2006 (the "Series 2006 Bonds"); under that certain Trust Indenture dated as of January 1, 2002 (herein called the "Original Indenture") between the University and U.S. Bank National Association, as successor trustee to SouthTrust Bank, as trustee (herein, in such capacity, called the "Trustee"), as supplemented and amended by a Second Supplemental Indenture dated as of March 1, 2003 (herein called the "Second Supplemental Indenture"), a Third Supplemental Indenture dated as of August 1, 2004 (herein called the "Third Supplemental Indenture") and a Fourth Supplemental Indenture dated as of August 1, 2006 (herein called the "Fourth Supplemental Indenture"). The Series 2002A Bonds, the Series 2002B Bonds, the Series 2003B Bonds, the Series 2004 Bonds and the Series 2006 Bonds (herein together called the "Outstanding Bonds") are payable from, and secured by a pledge of, (i) the revenues and receipts derived by the University from the general tuition and other fees payable by all students enrolled at the University and (ii) the revenues to be derived by the University from the operation of its student housing facilities, as such facilities may exist from time to time, all as more fully described in the Original Indenture.

(b) In the Original Indenture, the University reserved the right to issue, upon compliance with the conditions precedent set forth therein, additional bonds secured on a parity with the Series 2002A Bonds and Series 2002B Bonds, as respects the pledge made in the Original Indenture (any such additional bonds being herein called "Additional Bonds"), for the purposes of (i) refunding or retiring all or any portion of any one or more series of bonds outstanding under the Original Indenture, (ii) acquiring (by construction or otherwise) capital improvements to the facilities of the University, and (iii) refunding any obligations of the University incurred for either of the aforesaid purposes. The Series 2003B Bonds, the Series 2004 Bonds and the Series 2006 Bonds were issued as Additional Bonds.

(c) It is necessary, advisable, in the best interest of the University and in the public interest that the University acquire, construct, renovate and equip certain capital improvements to the University (herein together called the "Improvements") including (i) the Student Union temporary facility project, (ii) Library expansion/improvements, (iii) Student Union construction project, (iv) renovation of Student Housing Facilities and (v) Dining Hall improvements.

(d) It is necessary, desirable and in the best interest of the University that the University issue the Series 2008 Bonds hereinafter authorized for the purposes of (i) paying all or

a portion of the costs of acquiring, by construction or otherwise, the Improvements and (ii) paying the costs of issuing said Series 2008 Bonds.

(e) No event of default under the Original Indenture, as supplemented and amended by the Second Supplemental Indenture, the Third Supplemental Indenture, and the Fourth Supplemental Indenture, and no event which, with the giving of notice or the passage of time or both, would constitute such an event of default has occurred and is continuing. The University is not in default under the Original Indenture, as supplemented and amended by the Second Supplemental Indenture, the Third Supplemental Indenture and the Fourth Supplemental Indenture, and no such default is imminent. In particular, the University is not in default in the payment of the principal of or the interest on any of the Outstanding Bonds.

(f) No bonds, other than the Outstanding Bonds, have heretofore been issued by the University under the Original Indenture, as supplemented and amended by the Second Supplemental Indenture, the Third Supplemental Indenture and the Fourth Supplemental Indenture.

Section 2. Authorization of the Series 2008 Bonds. Pursuant to the applicable provisions of Code of Alabama 1975, Title 16, Chapter 50, and Section 16-3-28 of said Code, it is hereby authorized to be issued by the University in a maximum aggregate principal amount not to exceed \$38,000,000 its General Tuition and Fee Revenue Bonds, Series 2008 (herein called the "Series 2008 Bonds") in order to obtain funds with which to (i) pay the costs of acquiring, by construction or otherwise, the Improvements and (ii) pay the costs of issuing said Series 2008 Bonds, all under the terms, conditions and provisions set out in the Fifth Supplemental Indenture authorized in Section 4 of this resolution (said Fifth Supplemental Indenture being herein called the "Fifth Supplemental Indenture"), which will further supplement and amend the Original Indenture, as heretofore supplemented and amended by the First Supplemental Indenture, the Second Supplemental Indenture, the Third Supplemental Indenture and the Fourth Supplemental Indenture. The Series 2008 Bonds shall bear such date, shall mature at such times (not to exceed 30 years after the date of initial delivery of the Series 2008 Bonds) and, in such manner, shall be payable at such place, shall bear interest from the date until their maturity at such per annum rate or rates not to exceed 6 % per annum, shall bear such numbers and shall be in such form and contain such provisions (including, without limitation, redemption provisions) as are set out in the Fifth Supplemental Indenture and as shall be consistent with the provisions of this resolution.

The Series 2008 Bonds shall be issued on a parity of lien and pledge with the Outstanding Bonds pursuant to the provisions of Article VIII of the Original Indenture, as supplemented and amended by the First Supplemental Indenture, the Second Supplemental Indenture, the Third Supplemental Indenture and the Fourth Supplemental Indenture. All the provisions of the Fifth Supplemental Indenture and of the Series 2008 Bonds authorized to be issued thereunder are hereby adopted as a part of this resolution as though the same were set out in full herein. Unless the context clearly indicates a different meaning, any reference in the succeeding provisions of this resolution to the Indenture means the Original Indenture as supplemented and amended by the First Supplemental Indenture, the Second Supplemental Indenture, the Third Supplemental Indenture, the Fourth Supplemental Indenture and the Fifth Supplemental Indenture.

Section 3. Source of Payment of the Series 2008 Bonds. The principal of and interest (and premium, if any) on the Series 2008 Bonds shall be payable solely from the revenues defined in the Indenture as the "Pledged Revenues" (herein called the "Pledged Revenues"). Nothing contained in this resolution, in the Series 2008 Bonds or in the Indenture shall be deemed to impose any obligation on the University to pay the principal of or the interest (or premium, if any) on the Series 2008 Bonds except from the Pledged Revenues. The Series 2008 Bonds shall not represent or constitute obligations of any nature whatsoever of the State of Alabama and shall not be payable out of moneys appropriated to the

University by the State of Alabama. The agreements, covenants and representations contained in this resolution, in the Series 2008 Bonds, and in the Indenture do not and shall never constitute or give rise to any personal or pecuniary liability or charge against the general credit of the University, and in the event of a breach of any such agreement, covenant or representation, no personal or pecuniary liability or charge payable directly or indirectly from the general revenues of the University shall arise therefrom. Neither the Series 2008 Bonds nor the pledge or any agreement contained in the Indenture or in this resolution shall be or constitute an obligation of any nature whatsoever of the State of Alabama, and neither the Series 2008 Bonds nor any obligation arising from the aforesaid pledge or agreements shall be payable out of any monies appropriated to the University by the State of Alabama. Nothing contained in this section, however, shall relieve the University or its officers from its or their obligation to perform the several agreements on its or their part contained herein and in the Indenture so long as such performance does not impose a general liability or charge upon the University.

Section 4. Authorization of the Fifth Supplemental Indenture. To specify the details respecting the Series 2008 Bonds and as further security for the payment of the principal of and the interest (and premium, if any) on the Outstanding Bonds and any other Additional Bonds that may be issued under the Indenture, pro rata and without preference or priority of one bond over another or of the bonds of any one series over those of any other, the University does hereby authorize and direct the President of Alabama State University to execute and deliver, for and in the name and behalf of the University, a Fifth Supplemental Indenture (herein, as previously indicated, called the "Fifth Supplemental Indenture") to U.S. Bank National Association, as Trustee (herein, in its capacity as Trustee under the Indenture, called the "Trustee"). The University does also hereby authorize and direct the Vice President for Fiscal Affairs of Alabama State University to affix the corporate seal of the University to the Fifth Supplemental Indenture and to attest the same. The Fifth Supplemental Indenture shall be in such form and shall contain such terms and conditions, not inconsistent with the provisions hereof, as the President of Alabama State University shall determine to be necessary or desirable in order to consummate the transactions authorized by this resolution, the determination of the definitive form of, and the definitive terms and conditions of, the Fifth Supplemental Indenture by the President of Alabama State University to be established conclusively by his execution of such document.

Section 5. Sale of the Series 2008 Bonds. The Series 2008 Bonds shall be and hereby authorized to be sold and awarded to the Underwriters (as defined in Section 10 below), at and for a purchase price for the Series 2008 Bonds of not less than ninety-five percent (95%) of the par amount thereof (which price shall reflect both underwriting discount and original issue discount) plus accrued interest on the Series 2008 Bonds from their date to the date of their delivery. The Series 2008 Bonds shall be sold pursuant to and on the terms and conditions specified in a bond purchase agreement (herein called the "Bond Purchase Agreement") between the University and the Underwriters. The Bond Purchase Agreement shall be in such form as the President of Alabama State University shall determine to be necessary or desirable in order to consummate the transactions authorized by this resolution, the determination of the definitive form of the Bond Purchase Agreement by such officer to be conclusively established by his execution of the same. The President of Alabama State University is hereby authorized and directed to execute the Bond Purchase Agreement for and in the name and behalf of the University.

Section 6. Authorization of the Official Statement. The President of Alabama State University and the Vice President for Fiscal Affairs of Alabama State University are hereby authorized and directed to execute and deliver, for and in the name and behalf of the University, an official statement (herein called the "Official Statement"), with respect to the Series 2008 Bonds (herein called the "Bonds"), said Official Statement to be in such form as the officers executing the Official Statement shall determine to be necessary or desirable in order to consummate the transactions authorized by this resolution, the determination by such officers of the definitive form of the Official Statement to be

established conclusively by their execution thereof. The use of the Official Statement by the Underwriters in connection with the offering and sale of the Bonds is hereby authorized and approved.

Section 7. Execution and Delivery of the Series 2008 Bonds. The President of Alabama State University is hereby authorized and directed to execute the Series 2008 Bonds for and in the name and behalf of the University, either by manually signing or by causing a facsimile of his signature to be imprinted thereon, and the Vice President for Fiscal Affairs of Alabama State University is hereby authorized and directed either to impress upon or to cause a facsimile of the corporate seal of the University to be imprinted on the Series 2008 Bonds and to attest the same either by manually signing or by causing a facsimile of his signature to be imprinted thereon, all in the manner provided in the Indenture. The President of Alabama State University is hereby authorized and directed to deliver the Series 2008 Bonds to the Trustee and to direct the Trustee to authenticate all the Series 2008 Bonds and to deliver them to the Underwriters upon payment to the University of the purchase price therefor.

Section 8. Application of Proceeds. The entire proceeds derived by the University from the sale of the Series 2008 Bonds shall be delivered by the University to the Trustee, which is thereupon authorized and directed to apply and disburse such moneys for the purposes specified in the Fifth Supplemental Indenture including (a) payment into the Bond Fund created in the Indenture of that portion of such proceeds that is allocable to premium (if any) and accrued interest; (b) payment of the premium (if any) for a financial guaranty insurance policy issued by bond insurer with respect to the Series 2008 Bonds; (c) payment of the premium (if any) for the surety bond attributable to the Series 2008 Bonds; (d) payment into the Reserve Fund created in the Indenture of the sum (if any) required by Section 9.2 of the Indenture to be deposited into said Reserve Fund on the date the Series 2008 Bonds are issued; (e) payment into the Costs of Issuance Fund created in the Fifth Supplemental Indenture of such sum as is necessary to pay all the expenses of issuing and selling the Series 2008 Bonds; (f) payment of the balance of such proceeds into a special account of the University to be applied by the University to the costs and expenses of constructing and equipping the Improvements.

Section 9. Authorization to Select Bond Insurer and Execution of Financial Guaranty Agreement. The President of Alabama State University is hereby authorized to select a bond insurer (herein called the "Insurer"), upon recommendation of the Underwriters, and is directed to execute and deliver, for and in the name and behalf of the University, (i) a commitment letter with the Insurer (the "Commitment Letter"), and (ii) a financial guaranty agreement (the "Financial Guaranty Agreement") between the University and the Insurer, wherein the University shall agree, among other things, to pay the premium for a surety bond to be issued by the Insurer and deposited into the debt service reserve fund created under the Indenture. The Commitment Letter and Financial Guaranty Agreement shall be in such form as the President shall determine to be necessary or desirable, the determination by such officer of the Insurer and the form of such documents to be conclusively established by his execution thereof. The University also hereby authorizes and directs the Vice President for Fiscal Affairs of Alabama State University to affix the corporate seal of the University to the documents and to attest the same.

Section 10. Ratification and Authorization of Financing Team. To accomplish the objectives of this resolution, the Board does hereby ratify the appointment of Maynard, Cooper & Gale, P.C., as Bond Counsel; _____, _____, and _____, as Underwriters (the "Underwriters"); and further directs the appointment of any additional professionals as needed upon recommendation of the President of Alabama State University (herein together called the "Financing Team"). Without limiting the generality of other provisions of this resolution, the members of the Financing Team are authorized (i) to communicate on behalf of the University with rating agencies and bond insurers, (ii) to prepare documents (including those specifically authorized and referred to in this resolution) and financial schedules and projections, and (iii) to the extent deemed necessary or helpful, to consult with other professionals. The President of Alabama State University is authorized to

execute and deliver any contracts, engagement or commitment letters, invoices or other documentation to secure such services in connection with the issuance of the Series 2008 Bonds.

Section 11. Intent to Reimburse. The Board hereby acknowledges that certain costs related to the Improvements are expected to be paid by the University prior to the issuance of the Series 2008 Bonds (including costs heretofore incurred within sixty days prior to the adoption of this resolution). To the extent that any such capital expenditures are or have been incurred and paid prior to the issuance of the Series 2008 Bonds, the Board hereby states that it reasonably expects to apply a portion of the proceeds of the Series 2008 Bonds to reimburse the University for the moneys expended by the University out of its own funds for the initial payment of such expenditures for the Improvements. Any such preliminary expenditures to be made prior to the issuance of the Series 2008 Bonds will be subject to the approval of the President of Alabama State University.

Section 12. General Authorization. The President of Alabama State University and the Vice President for Fiscal Affairs of Alabama State University are hereby further authorized and directed to take such further actions and to execute, deliver, seal and attest such other documents and certificates as may be necessary or appropriate to effect the issuance of the Series 2008 Bonds and to carry out fully the transactions contemplated in the documents authorized in this resolution to be executed and delivered on behalf of the University.

Section 13. Severability. The various provisions of this resolution are hereby declared to be severable. In the event any provision hereof shall be held invalid by a court of competent jurisdiction, such invalidity shall not affect any other provision of this resolution.

Section 14. Resolution Constitutes a Contract. The provisions of this resolution pertaining to the Series 2008 Bonds shall constitute a contract between the University and each holder of the Series 2008 Bonds.

Trustee _____ moved that the foregoing resolution be adopted, which motion was seconded by Trustee _____ and, upon said motion being put to vote, the following vote was recorded:

Yeas

Nays

The Chair thereupon announced that the motion for the adoption of said resolution had been carried.

* * *

RESOLVED AND APPROVED BY THE BOARD OF TRUSTEES OF ALABAMA STATE UNIVERSITY ON THIS 8th DAY OF FEBRUARY 2008.

APPROVED:

Elton N. Dean, Sr., Chairman
Board of Trustees for Alabama State University

Joe A. Lee, President of the University and
Secretary to the Board

**Report to the Board of Trustees
Alabama State University
February 8, 2008**

Thank you, Mr. Chairman, for this opportunity to report to the Board on some of our accomplishments and challenges since our last Board meeting. Much has been accomplished since our last meeting, and we have a very dedicated faculty and staff that made all these things happen.

Before I go on, I want to join the Board in thanking Mrs. Catherine Wright for her many years of service to ASU. You made us think, and you made us better. Thank you!

I also want to welcome Trustee Alfred Seawright to this august body. I know that you will continue a legacy of service that will continue propel this institution to unprecedented heights. Congratulations on your appointment and welcome aboard.

Let me briefly list a few developments since our last Board meeting:

- The College of Education had a successful visit for NCATE Accreditation. We met all standards and the faculty in Education is to be commended for their hard work. We have some challenges, but none that we cannot meet.**
- Since our last meeting, we have also gone through an accreditation visit from the Council for Accreditation of Physical Therapy Education (CAPTE). Dr. Denise Chapman and her faculty did an excellent job of preparing for that visit, and while we will have a few challenges, we feel good about the overall outcome of the visit.**
- Last week, we entertained a visit by a team from NASM (National Association of Schools of Music). To say the least, they were very impressed with our faculty and students. I want to thank Dr. Catarina Bristol for her hard work in preparing for this visit.**
- Next week, we will entertain a visit from an accrediting team from the National Association of Schools of Social Work. It's our season, and we will meet that review head-on.**
- In less than two years, we will be up for re-accreditation by the Southern Association of Colleges and Schools (SACS). We are in full gear for that visit, and I want to thank Dr. Nathaniel Sheppard for his leadership and**

persistence. We have a lot to accomplish and this University family has embraced this task with an excitement that I haven't seen at ASU in a long time.

- **CONCENTRATIONS APPROVED BY ACHE: Forensic Science, Hospitality and Tourism Management, and Recording Industry. This semester, 20 students are enrolled in the Intro to Forensic Science course.**
- **The Department of Theatre Arts will present a production of Raisin in the Sun starting February 13-15th. The previous performance of this play was outstanding with a full house in attendance. We expect no less this time.**
- **Seven students and five faculty members in the College of Business Administration will visit Seoul, South Korea in the middle of March to study international business practices. Also, several faculty in University College are planning a trip to Nigeria in May 2008. In a few short weeks, two faculty members will accompany a delegation from Alabama, including the Governor, on a trade and research mission.**
- **Several students are going to New Orleans during Spring Break to assist with the rebuilding of homes of those affected by Katrina. Dr. Pamela Gay, Chair of the Service Learning Committee will supervise the trip. This is just another example of how our students and faculty are engaged in service learning activities in the community.**
- **The Southern Normal Campus is co-sponsoring an Economics Symposium in the City of Brewton on February 15th at the Country Club of Brewton. Dr. Rosemary Watkins is planning this event.**
- **The second Connection Day for this year was held on February 2nd. Although I do not have the exact figures, the event was very well attended, and they were treated to a great basketball game at the conclusion of the day. During the fall Connection Day, over 2,000 students from all around the state attended.**
- **Beginning February 1, 2008, Dr. John Gooden assumed Directorship of the Educational Leadership, Policy and Law Doctoral Program in Education. We recognize Dr. Robert Beach for his outstanding leadership as director.**
- **The University has applied for a \$287,500 pedestrian/landscape grant under the Alabama Department of Transportation. If approved, this project will**

coincide with the proposed Campus Master Plan and will include the planting of street trees and small flowering trees along Carter Hill Road, Union Street, and I-85. The visual quality of the community and the campus will be enhanced by planting ornamental trees and shrubs in various sites. The project will enable the university to establish a comprehensive urban design form by developing unity with landscaping throughout the perimeter of the campus. ASU's match will be \$57,500.

- **As you have already heard, ASU graduates, Debra Scott Thomas and Otice Thomas, made a contribution of \$100,000 to the University in November. I believe that this is the largest single gift that we have received from a graduate.**
- **The Management Information Systems (MIS) and Academic Computing continues to strive to improve the academic and administrative computing capabilities for students, faculty, and staff. Current projects include: updating the student one-card system; expanding Optidoc imaging solution designed to support the University's imaging requirements; and the evaluation of various administrative software systems.**
- **The University will again this year host the area high school basketball play-offs. Partnering with the local community on this effort has greatly increased local participation in other events on campus.**
- **The men's basketball team has soundly become the # 1 team in the SWAC. Coach Lewis Jackson's Hornets are 9 and 1 in SWAC play. It is highly possible that this team, after winning the SWAC, and because of the difficulty of its schedule, could be matched against a number 12 or 13 seed team in post season play. "Stay tuned."**
- **Because of a series of uncharacteristic injuries, the Lady Hornets are struggling a little right now. Five of Coach Freda Jackson's players have**

suffered season ending knee or ankle injuries. Let's get behind the members that are left and cheer them on to victory.

- **The institution has completed the Audit for the Trust for Educational Excellence for Fiscal Year ending July 31, 2007. There were no findings.**
- **We have completed the Agreed-upon procedures for the Title VI programs for Fiscal Year ending September 30, 2007. There were no findings.**
- **The auditors have begun the financial audits for the various programs at the University for Fiscal Year ending September 30, 2007. It is expected that all audits will be completed on or before March 31, 2008.**
- **Continued the Bridge Builders Breakfast Series – The 3rd Session on December 4th featured presenter Dr. David Bronner, Retirement Systems of Alabama. The February Breakfast will feature former Judge Vanzetta McPherson. .**
- **ASU launched a competition for established Alabama businesses. This competition was designed in support of operational costs offered to grow and build the existing infrastructure of the business. First and second place winners received \$75,000 and \$50,000 respectively.**
- **First Business Plan Competition Finals. Alabama State University partnered with Alabama Coalition for Capital to bring awareness to minority entrepreneurs who are committed to starting and growing businesses in Alabama. Alabama State University launched a business plan competition for a start-up company to establish and support business operation in the State of Alabama. The winner received an investment of \$25,000**
- **WVAS-FM received an \$85,000 grant for a new digital transmitter from the Corporation for Public Broadcasting. The Station received a \$49,159 grant for new digital studio equipment from the U. S. Department of Commerce.**
- **WVAS-FM partnered with the City of Montgomery and the Montgomery Public School System to coordinate and host a “live” broadcast of “Youth Speak”, a forum of student panelists from seven (7) public and private high schools who discussed their thoughts and ideas.**
- **University Relations published and distributed two issues of ASU Today News briefs reaching more than 34,876 alumni and supporters growing list from 18,000 to 34,876.**
- **University Relations also partnered with WSFA to produce the first ever Web cast of an ASU sporting event (Grambling State vs. ASU) reaching 10,976 people nationally and internationally, including soldiers in Iraq. ASU**

alumni soldiers were able to view the Turkey Day Classic while serving overseas for the first time ever.

- **The MAGIC CITY CLASSIC ranked the #1 Black College Football Classic for 2007 with 68,593 fans attending. 2007 marked total revenue of \$1,392,255 for ticket sales and sponsorships, an increase of \$275,000 over 2006.**
- **The TURKEY DAY CLASSIC continues to be “The Granddaddy of Them All”, with 17,361 attending the game an estimated 10,000 fans cheering on parade participants. 2007 marked the debut of the HORNET WALK, an activity that unites game participants with fans and the community as the ASU band and cheerleaders lead players through a processional entry into the stadium with fans cheering them on.**
- **Marketing launched Spring Recruitment Campaign for Radio and Television showcasing ASU’s academic programs.**

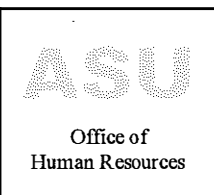
Mr. Chairman, and members of the Board, these are just a few of the events that have taken place since our last meeting. While we take great pride in these accomplishments, our challenges continue. These are great days for ASU, and I want to thank this Board for investing in its great transformation.

Finally, I came to ASU in 2001. As the old gospel song says, “I’ve had some good days, and I’ve had some hills to climb. I’ve had some weary days and some lonely nights. But when I look around, and I think things over, all of my good days, outweigh my bad days, and I won’t complain.”

Alabama State University is a great institution of higher learning. This faculty and staff can and will run rings around their counterparts at other institutions. Margie and I love this University, we love the students, we love this community, and we know that the best days for this University are yet to come. But it’s time for us to move on. Effective May 31, 2008, I am stepping down as president. Thank you for the opportunity afforded me to serve ASU. It will always have a special place in our hearts.

Respectfully submitted:

**Joe A. Lee
President**



ALABAMA STATE UNIVERSITY
Personnel and Human Relations
Board Report

September 1, 2007 through January 10, 2008

ACADEMIC NEW HIRES

<u>Name</u>	<u>Position</u>	<u>Highest Degree Education</u>	<u>Experience (Last three positions)</u>
Reda F. Brooks	Instructor of Early Childhood and Elementary Education	M.S. Mississippi State University	<ol style="list-style-type: none"> 1. Associate Professor/Early Childhood Coordinator, Pensacola Junior College, Pensacola, FL 2. Primary Teacher, Starkville Public Schools, Starkville, MS 3. Teacher Support Specialist, Clayton County Schools, Riverdale, GA
Stephen C. Cappelli	Professor of Art & Chair, Department of Visual Arts	M.F.A. University of South Carolina	<ol style="list-style-type: none"> 1. Interim Chair, Department of Visual Arts, Alabama State University, Montgomery, AL 2. Interim Chair, Visual and Theatre Arts, Alabama State University, Montgomery, AL 3. Professor of Art, Alabama State University, Montgomery, AL
Rebecca B. Cheels	Instructor N-3	M.S. Alabama State University	<ol style="list-style-type: none"> 1. Teacher, Montgomery County Board Education, Montgomery, AL 2. Teacher, Selma City School, Selma, AL 3. Court Specialist II, Montgomery County Courts, Montgomery, AL
Gary I. Grandison	Assistant Professor/Head Golf Coach	D.S.M. US Sports Academy	<ol style="list-style-type: none"> 1. Assistant Professor of Management, Alabama State University, Montgomery, AL 2. District Executive, Boys Scouts America, Mobile, AL 3. Admissions Counselor/Graduate Assistant, US Sport Academy, Mobile, AL
Calvin McTier	Assistant Professor of Secondary Education	Ph.D. Auburn University	<ol style="list-style-type: none"> 1. Senior Laboratory Instructor, Alabama State University, Montgomery, AL 2. Instructor, Alabama State University, Montgomery, AL 3. Laboratory Instructor, Alabama State University, Montgomery, AL
Hongzhan Wu	Assistant Professor of Biology	Ph.D. South China Agricultural University	<ol style="list-style-type: none"> 1. Research Associate, Alabama State University, Montgomery, AL 2. Research Scientist, Auburn University, Auburn, AL 3. Research Scientist, University of Hong Kong, China

**ACADEMIC SEPARATIONS
(Information Only)**

<u>NAME</u> Robert Garrie	<u>POSITION</u> Assistant Professor of Health Information Management/Academic Coordinator of Clinical Education	<u>DEPARTMENT</u> College of Health Sciences
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RECOMMENDED ACADEMIC PROMOTIONS IN RANK

<u>Name</u> None	<u>Current Rank</u>	<u>Recommended Rank</u>	<u>College</u>	<u>Status</u>
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ACADEMIC PROMOTIONS IN RANK DENIED

<u>Name</u> NONE	<u>College</u>
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RECOMMENDED ACADEMIC TENURED

<u>Name</u> None	<u>College</u>
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ACADEMIC TENURE DENIED

<u>Name</u> None	<u>College</u>
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NON-ACADEMIC NEW HIRE

<u>Name</u>	<u>Position</u>	<u>Education</u>	<u>Experience (Last three positions)</u>
Joseph Barnes	Custodian	Diploma G.W. Caver High School	<ol style="list-style-type: none"> 1. Barber, Jeri Ware, Montgomery, AL 2. Custodian, Rite Way, Montgomery, AL 3. Custodian, Bama Janitorial Services, Montgomery, AL
Kimberly D. Brown	Events Manager	M.S. Florida A&M University	<ol style="list-style-type: none"> 1. Coordinator of Communication, JGR Museum, Tallahassee, FL 2. Publicist, Uzzell Advertising, Tallahassee, FL 3. Community Relations Aide, FCHR, Tallahassee, FL
Ricky Cotton	Coordinator of J G Hardy Center	B.S. Alabama State University	<ol style="list-style-type: none"> 1. Childcare Officer, Montgomery County, Montgomery, AL 2. Teacher & CART Coach, St. Jude Education Institute, Montgomery, AL 3. Recreation Leader II, City Parks & Recreation, Montgomery, AL
Darly R. Curry	Custodian	Diploma Douglas High School	<ol style="list-style-type: none"> 1. Operator, IPEC, Brewton, AL 2. Sport Staff, 21st Century Program, Brewton, AL 3. Janitor, Escambia County School, Atmore, AL
Brenda S. Dawson	Custodian	Diploma Robert E Lee	<ol style="list-style-type: none"> 1. Custodian, Rita Way Service, Montgomery, AL 2. Supervisor, Save-A-Trip Clearner, Montgomery, AL 3. Clerical Aid, Alabama Medicaid, Montgomery, AL
Relando S. Fannin	Police Officer	Diploma Carver High School	<ol style="list-style-type: none"> 1. Police Officer, Victory Land Police Department, Shorter, AL 2. Police Officer, Wetumpka Police Department, Wetumpka, AL 3. Police Officer, New Orleans Fine Hotel, New Orleans, LA
Robbie J. Fitts	Police Officer	Diploma Selma High	<ol style="list-style-type: none"> 1. Police Officer, Montgomery Airport Authority, Montgomery, AL 2. Police Officer, Victory Land Police Department, Shorter, AL 3. Police Officer, Tallassee Department of Public Safety, Tallassee, AL
Tina E. Golson	Administrative Secretary	B.S. Troy University	<ol style="list-style-type: none"> 1. Executive Administrative Assistant, First Tuskegee Bank, Montgomery, AL 2. Loan Processing Specialist, Regions Financial Corporation, Montgomery, AL 3. Training Technologist Assistant, Regions Financial Corporations, Montgomery, AL
Sonja D. Floyd-Keith	Data Entry Clerk	Associate Degree George C. Wallace College	<ol style="list-style-type: none"> 1. Office Manager, AL Family Chiropractic, Montgomery, AL 2. QA Clerk, R.P.S. Dothan, AL

Donnell S. Harris	Floater/Residence Hall	B.S. Alabama State University	<ol style="list-style-type: none"> 1. Social Worker, AL Department of Public Health, Montgomery, AL 2. Intern, Montgomery County Youth Facility, Montgomery, AL
Cedric A. Hicks	Head Circulation Librarian	MLIS Wayne State University	<ol style="list-style-type: none"> 1. MLIS Intern, Detroit Public Library Digital Lab, Detroit, MI 2. MLIS Intern, Michigan State University Vincent Voice Library, Lansing, MI 3. MLIS Intern, Detroit Historical Museum, Fort Wayne, MI
Randall C. Hicks	Custodian/Stagehand	Diploma E.B. Erwin High School	<ol style="list-style-type: none"> 1. Inspector, Hyundai Motors, Montgomery, AL 2. Courier, Fed-Ex, Montgomery, AL 3. Correctional Officer, Draper Prison, Wetumpka, AL
Deborah J. Howard-Martin	Financial Aid Counselor	B.S. Langston University	<ol style="list-style-type: none"> 1. Financial Aid Counselor, University of Central Oklahoma, Norman, OK 2. Financial Aid Counselor, University of North Texas, Denton, TX 3. English Teacher, Dallas Independent School System, Dallas, TX
Benjamin V. Hunter	Director of Physical Plant	B.A. American University	<ol style="list-style-type: none"> 1. Contractor, Electrical & Mechanical Contractor, Rocky Mountain, NC 2. Director of Physical Plant, State of NC, Elizabeth City, NC 3. Operations Manager, Trammell Crow Company, Fayetteville, NC
Melvin Jerido	Driver	Diploma Tuskegee Institute High	<ol style="list-style-type: none"> 1. Bus Driver, Vision Express, Montgomery, AL 2. Truck Driver, Concrete Company, Auburn, AL 3. Bus Driver, Dixie Excursion, Auburn, AL
MaryAnn E. Johnson	Program Coordinator	B.S. Alabama State University	<ol style="list-style-type: none"> 1. Admission Assistant, Alabama State University, Montgomery, AL 2. Administrative Assistant, Department of Industrial Relations, Montgomery, AL 3. Accounts Receivable Clerk, Goff Group Inc., Montgomery, AL
Kimya D. Kilgore	Accountant	B.S. Alabama State University	<ol style="list-style-type: none"> 1. Teller, Amsouth Bank, Montgomery, AL 2. Management Intern, Enterprise Rental Car, Montgomery, AL 3. Tax Preparer, H&R Block, Montgomery, AL
Gregory G. May	Floater/Residence Hall	B.S. Alabama State University	<ol style="list-style-type: none"> 1. Team Bus Driver, AUM, Montgomery, AL 2. Bus Driver, Capital Trail-Ways, Montgomery, AL 3. Counselor/Bus Driver, Prattville Boys & Girl Club, Prattville, AL
Robert V. Mitchell, Jr.	District Recruiter	B.S. Alabama State University	<ol style="list-style-type: none"> 1. Data Entry Clerk, Alabama State University, Montgomery, AL 2. Photographer, Sharp Shooters Spectrum Imaging, New Orleans, LA 3. Human Resources Clerk, Hyatt Regency, New Orleans, LA

Wylie C. Owens	Senior Secretary	B.A. Alabama State University	<ol style="list-style-type: none"> 1. Senior Secretary, Alabama State University, Montgomery, AL 2. Clerk-Typist, Alabama State University, Montgomery, AL 3. Secretary, Alabama State University, Montgomery, AL
Yolanda E. Poole	Procurement Specialist	B.S. Alabama State University	<ol style="list-style-type: none"> 1. Financial Analyst/Contract Administration, Regions Financial Corp., Birmingham, AL 2. Financial Analyst-Computer Operations, Regions Financial Corp., Birmingham, AL 3. Accounting Clerk-Dealer Loan Acct., Regions Financial Corp, Birmingham, AL
Derek M. Rogers	Program Assistant	B.S. Alabama State University	<ol style="list-style-type: none"> 1. Accounts Payable Clerk, Alabama State University, Montgomery, AL 2. Data Entry Clerk, Alabama State University, Montgomery, AL 3. Teller, Compass Bank, Montgomery, AL
Beverly A. Rudolph	Director of Human Resources	M.S. Alabama State University	<ol style="list-style-type: none"> 1. Interim Director of Human Resources, Alabama State University, Montgomery, AL 2. Assistant Director of Personnel, Alabama State University, Montgomery, AL 3. Employment Manager, Alabama State University, Montgomery, AL
James Q. Sealey	Public Safety Officer	Diploma Evander Childs High	<ol style="list-style-type: none"> 1. Police Officer, Alabama State University, Montgomery, AL 2. Spinning Operator, Radicipandex Corporation, Northport, AL 3. Boxer, Exclusive Boxing, Atlanta, GA
Tansia Shealey	Director of Marketing	B.A. Alabama State University	<ol style="list-style-type: none"> 1. Field Staff Representative, ASEA, Montgomery, AL 2. Assignment Manager, WFSA-TV, Montgomery, AL 3. New Director, Alabama State University, Montgomery, AL
Betty C. Sims	Custodian/Stagehand	Diploma Carver High School	<ol style="list-style-type: none"> 1. House Keeping Supervisor, Baptist East, Montgomery, AL 2. Housekeeper, Penn & Sons Montgomery, AL
Angela V. Stovall	Residence Hall Night Coordinator	Diploma Sidney Lanier High School	<ol style="list-style-type: none"> 1. Clerk/Receptionist, AL Department of Corrections, Montgomery, AL 2. Clerical Aide, Alabama Department of Corrections, Montgomery, AL 3. Residential Hall Supervisor, Alabama State University, Montgomery, AL
La Shaundria L. Thomas	Senior Secretary	B.S. Alabama State University	<ol style="list-style-type: none"> 1. Data Entry Clerk, Alabama State University, Montgomery, AL 2. Supervisor/Senior Dispatcher, Answertek, Inc, Montgomery, AL 3. Administrative Assistant, Miles Law School, Fairfield, AL

Roger N. Thompson	Research Associate	M.S. Alabama State University	<ol style="list-style-type: none"> 1. Lab Tech, Alabama State University, Montgomery, AL 2. Lab Manger, Florida State University, Tallahassee, FL 3. Lab Tech, Alabama State University, Montgomery, AL
Grendodene Timmons	Custodian	Associate Degree Trenholm State Technical College	<ol style="list-style-type: none"> 1. Assemble Folders, STS Filing Products, Montgomery, AL 2. Feeder/Folder, National Linen Service, Montgomery, AL 3. Assembly Worker, Rebel Electronics, Montgomery, AL
Matthew L. Turner	Strength and Conditioning Coach	B.A. Arkansas State University	<ol style="list-style-type: none"> 1. Assistant Strength & Conditioning Coach, University of Arkansas, Fayetteville, AR 2. Head Trainer, Parisi Speed School, Bentonville, AR 3. Head Strength & Conditioning Coach, Eastern Illinois University, Charleston, IL
RoseMary Watkins	Headmaster Southern Normal Campus	Ph.D. Jackson State University	<ol style="list-style-type: none"> 1. Interim Director, Alabama State University, Montgomery, AL 2. Director, Learning Resources Center, Oglethorpe University, Atlanta, GA 3. Program Director, Office For Institutional Equity, Duke University, Durham, NC
Perry E. Webb	Police Officer	Diploma Enterprise High School	<ol style="list-style-type: none"> 1. Police Officer, City of Abbeville, Abbeville, AL 2. Police Officer, AUM, Montgomery, AL 3. Police Officer, Lawson State Community College, Birmingham, AL
Cynthia V. Welborn	Director, Teacher Certification	M.S. Alabama State University	<ol style="list-style-type: none"> 1. Special Education Teacher, Jefferson Davis School, Montgomery, AL 2. Special Education Teacher, Goodwyn Junior High School, Montgomery, AL 3. Special Education Teacher, Tuskegee Public Elementary School, Tuskegee, AL
Erik Whiting	Acadome Custodial Supervisor	Diploma Lanier High School	<ol style="list-style-type: none"> 1. Manager, Church's Chicken, Montgomery, AL 2. Assistant Manger, Church's Chicken, Montgomery, AL 3. Custodian, Montgomery County Board of Education, Montgomery, AL
Jenifer Williams	Senior Secretary	M.S. University of Phoenix	<ol style="list-style-type: none"> 1. Secretary, Kelly Services, Montgomery, AL 2. Sales & Service Rep, AT&T, Detroit, MI 3. Personnel Coordinator, Sam's Club, Roseville, MI

**NON-ACADEMIC SEPARATIONS
(Information Only)**

Name	Position	Department
Berry, Patricia A.	Custodian	Physical Plant
Kelvin F. Billups	Heating & Air Conditioning Technician	Physical Plant
Gwendolyn Blocker	Administrative Secretary	Special Assistant to the President
Grady Brown	Assistant Football Coach	Intercollegiate Athletics
Chino Cambridge	Senior Laboratory Instructor	University College
Nanertha Coachman	Catering	Food Services
Marlon Cotton	Custodian	Physical Plant
Darly Curry	Custodian	Southern Normal
Pamela T. Foster	Senior Secretary	Center for Leadership & Public Policy
Tina Golson	Administrative Secretary	Special Assistant to the President
Maurice Harris	Assistant Football Coach	Intercollegiate Athletics
Linda D. Houston	Custodian	Physical Plant
Derrick Jackson	Assistant Football Coach	Intercollegiate Athletics
Sandra J. Matthews	Custodian	Southern Normal
Yurlesia Monford	Marketing & Communication Coordinator	Special Assistant to the President
John Peavey	Assistant Football Coach	Intercollegiate Athletics
Dorsey Smith, Sr.	Interim Director, Physical Plant	Physical Plant
Patrick Steele	Publication Editor	Special Assistant to the President
Tammie F. Stinson	Administrative Secretary	VP Administrative Service
Kimberly Todd	Police Officer	Police & Campus Security
Edward J. Tomczak	Compliance Officer	Intercollegiate Athletics
Perry Webb	Police Officer	Police & Campus Security
Stanley J. Williams	Plumber	Physical Plant
Tenisha Williams-Jelks	Senior Secretary	Student Financial Aid

**DECEASED Employees
(Information Only)**

<u>NAME</u>	<u>DEPARTMENT</u>	<u>DATE</u>
Roderick Walker	Physical Plant	11/28/07

**RETIRED OR RETIRING EMPLOYEES
(Information Only)**

<u>NAME</u>	<u>DEPARTMENT</u>	<u>DATE</u>
Rebecca Bassett	Financial Aid Counselor	01/01/08
Marzell Brown	Human Resources	03/01/08
Susanta Ghorai	College of Arts & Sciences	08/01/08
Johnny L. Jeter	College of Education	12/01/07

**DROP (Deferred Retirement Option Plan) PARTICIPANTS
(Information Only)**

<u>NAME</u>	<u>DEPARTMENT</u>	<u>DATE</u>
Gerald G. Bennett	College of Arts and Sciences	11/01/07
M. Kathryn Williams	Career Services	12/01/07
Dorothy W. Hudson	MIS & Academic Computing	10/01/07

**DROP TERMINATION/CONTINUED EMPLOYMENT
(Information Only)**

<u>NAME</u>	<u>DEPARTMENT</u>	<u>DATE</u>
None		

**NEW POSITIONS ESTABLISHED AFTER FISCAL YEAR
OCTOBER 1, 2006**

<u>POSITION</u>	<u>DIVISION</u>	<u>FUND SOURCE</u>
Crew Leader	Administrative Services	General Fund
Customer Service Representative	Institutional Advancement	Title III
K-9 Officer	Administrative Services	General Fund

STEP APPROVAL BY BOARD OF TRUSTEES

<u>Name</u>	<u>POSITION</u>	<u>DEPARTMENT</u>	<u>GRADE/STEP</u>
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RECLASSIFICATION

<u>Name</u>	<u>POSITION</u>	<u>DEPARTMENT</u>	<u>GRADE</u>	
			<u>From</u>	<u>To</u>
Benjamin Hunter	Director of Physical Plant	Administrative Services	SMI	SMII

OTHER EMPLOYMENT CHANGES

<u>Name</u>	<u>POSITION</u>	<u>DEPARTMENT</u>	<u>Reason for Change</u>
Ethel L. Campbell	Sr Administrative Secretary	Administrative Services	Lateral Transfer as a Staff Associate in Physical Plant




ALABAMA STATE UNIVERSITY

MONTGOMERY, ALABAMA 36101 • 334 / 229-4200

OFFICE OF THE PRESIDENT

MEMORANDUM

TO: Alabama State University Board of Trustees

FROM: Joe A. Lee
President 

DATE: January 29, 2008

RE: Founder's Day Convocation and Full Board Meeting

This notice is to inform you of the 2008 Founder's Day Convocation followed by a meeting of the Board of Trustees on February 8, 2008. The Founder's Day celebration will commence at 10:00 a.m. and your participation is requested. All platform participants are asked to convene in the Green Room of the Joe L. Reed Acadome at 9:30 a.m. for robbing.

Additionally, the meeting of the full Board will start at 1:30 p.m. in the Joe L. Reed Acadome Board Room. The agenda and supporting documents for the meeting will be forwarded under separate cover.

ASU Board of Trustees Meeting
Friday, February 8, 2008

Remarks by Dr. Derryn E. Moten, Chairman
ASU Faculty Senate

I. ASU Faculty Salary Schedule

- A. President Joe Lee agreed to call together an ad hoc committee to revamp the Faculty Salary Schedule.
- B. The Board hired Dr. Daniel Sullivan to work with it, the administration and the faculty on faculty pay equity.
- C. The faculty senate executive committee requests a preliminary meeting with the BOT Budget Committee to explain our fundamental disagreements with the Faculty Salary Schedule. We feel this information is crucial to this committee before it considers a method to ameliorate the salary rubric.

1. For example, the university has calculated faculty teaching experience at .005 percent multiplied by the number of years taught from 1978 to now. No trustee or administrator can explain why the university chose one-half of one percent or what it signifies. Moreover, the university cannot explain why that number has not changed in 30 years! Additionally, this calculation is made on one's base rank salary not a faculty member's actual salary. And there is no rationale for that oddity also. A generation of ASU faculty members have worked and retired under this egregious practice. There are probably few occasions in business where an employer has fixed a specific benefit to a specific ratio for three straight decades seemingly indifferent to inflation or cost-of-living.

2. We respectfully remind the Board that state law only gives the Board of Trustees the power to "fix salaries or compensation..." for all Alabama State University employees (§16-50-23 Al. Code. Ann.). A reasonable woman or man would therefore expect the Board to fully understand the nuances of the process as well as the impact of its salary decisions for the 878 full-time working women and men on campus.

3. Thirty years ago, a federal district court reached a similar conclusion. In Craig v. Alabama State University (1978), Judge Frank Johnson ruled former president Levi Watkins acted arbitrarily and capriciously in the university's evaluation and compensation of white faculty members (p. 21). The court also found that the university gave preferential treatment to its black faculty. In the wake of the Knight decreed programs, some believe the converse is true today at ASU.

II. Faculty Handbook Draft (May 2006)

- A. The senate executive committee has had two productive working meetings with V.P. Evelyn White redacting the faculty handbook.

- B. University's post-tenure review is not necessitated by NCATE Professional Standard re Modeling Best Professional Practices in Scholarship, "Professional education faculty demonstrates scholarly work related to teaching, learning, and their fields of specialization..."
- C. Mechanisms already exist to identify so-called poor performing faculty members; adding post-tenure to the mix is unnecessary. Any sense of faculty lethargy is not shared by college deans and department chairs. A recent literature search uncovered a sample of the following ASU scholars:
 1. Sonja Harrington et al, "An Examination of Referrals to the School Counselor by Race, Gender, and Family Structure," Professional School Counseling. April 2007.
 2. Caterina Bristol, "Making a Living in Your Local Music Market..." Music Education Journal. March 2007.
 3. Tina Vazin et al, "New Model for Predicting Adolescents' Sexual Intentions," American Journal of Health Studies. 2006.

III. Public Integrity

- A. Ensuring the "public's trust" should begin with protecting the trust of the honest, hardworking Alabama State University employees who themselves are state citizens and taxpayers.
- B. News of the former ASU employee who will begin serving a 33 month federal prison sentence this month will be superfluous if the university remains tacit to known or suspected instances of current misfeasance and perhaps malfeasance on campus.
- C. A job description for the university's **Community Relations Coordinator** did not exist prior to an inquiry by the faculty senate several years ago despite the fact that the same staff person has held this job for thirty years or more. The position was never advertised and it doesn't appear that the staff member holding this position ever interviewed for it.
- D. Cronyism and nepotism is not unique to Alabama's two-year colleges nor is public scrutiny limited to the state's publicly supported community and technical colleges. The die is cast.
- E. Fear of reprisals keeps some staff from alerting university administrators to suspected and known wrongdoings.
- F. Background checks and drug policies are no substitute for an ethos of accountability and transparency and one that applies to every university employee and one that is in operation everyday. This ethos should be predicated on deeds not words. Evil prevails when good women and men do nothing.